




Introduction to XQuery



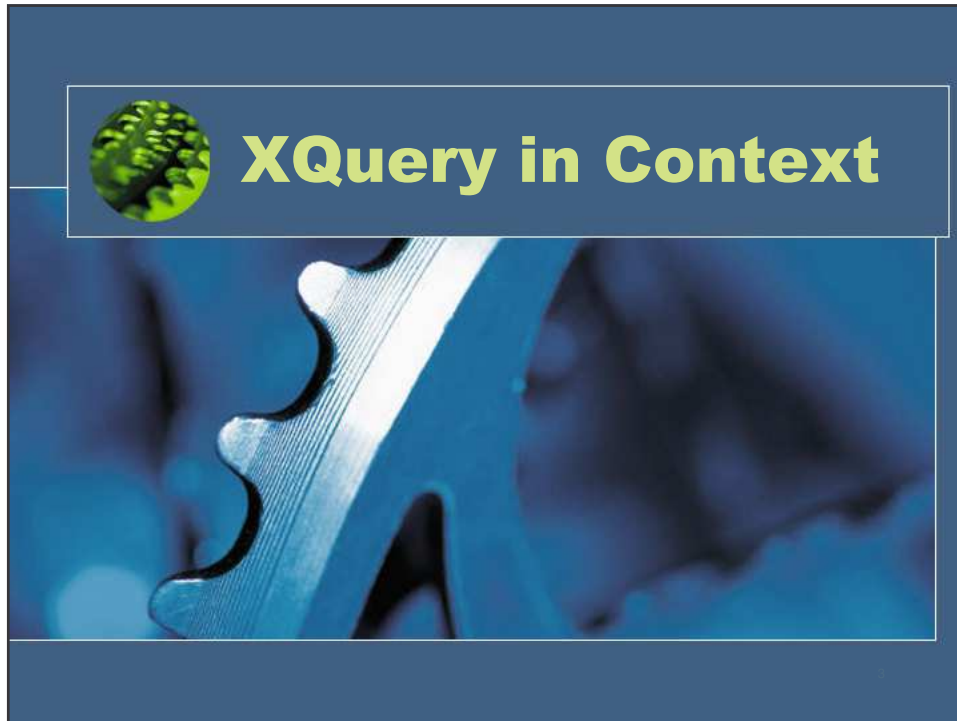
Priscilla Walmsley
Managing Director, Datypic
<http://www.datypic.com>
pwalmsley@datypic.com
XML 2004 Conference
November 15, 2004



Schedule

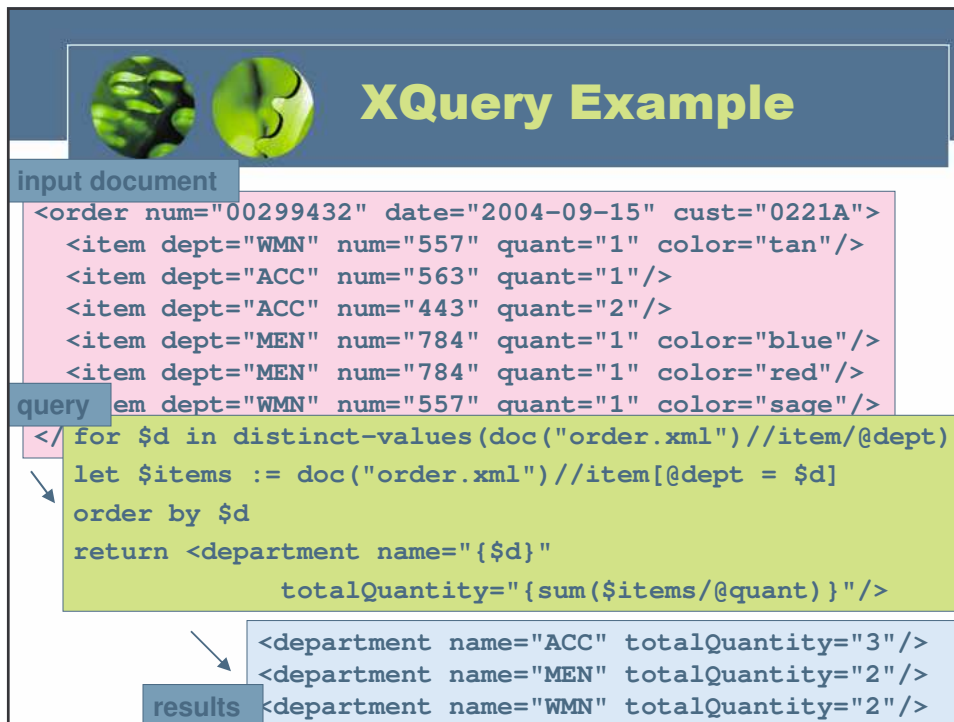
- Morning Session
 - XQuery in Context
 - The Data Model
 - XQuery Syntax and Expressions
- Afternoon Session
 - Advanced Queries (Sorting, Joining)
 - Functions and Modules
 - Types
 - Schemas

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A slide with a dark blue background. At the top, there is a white-bordered box containing two green globe icons on the left and the text "What is XQuery?" in a bold, yellow-green font on the right. Below this box is a white area containing a bulleted list of capabilities of XQuery. At the bottom of the slide, there is a small copyright notice and the slide number "Slide 4".

- A query language that allows you to:
 - select elements/attributes from input documents
 - join data from multiple input documents
 - make modifications to the data
 - calculate new data
 - add new elements/attributes to the results
 - sort your results

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XQuery Example

input document

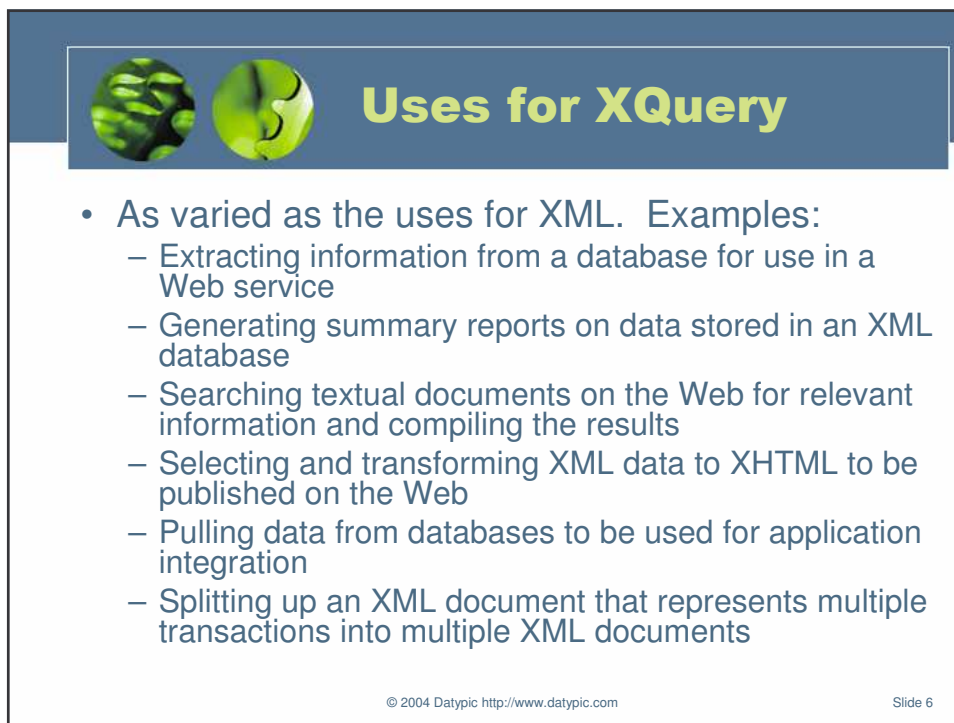
```
<order num="00299432" date="2004-09-15" cust="0221A">
  <item dept="WMN" num="557" quant="1" color="tan"/>
  <item dept="ACC" num="563" quant="1"/>
  <item dept="ACC" num="443" quant="2"/>
  <item dept="MEN" num="784" quant="1" color="blue"/>
  <item dept="MEN" num="784" quant="1" color="red"/>
  <item dept="WMN" num="557" quant="1" color="sage"/>
</order>
```

query

```
</for $d in distinct-values(doc("order.xml")//item/@dept)
let $items := doc("order.xml")//item[@dept = $d]
order by $d
return <department name="{ $d }"
      totalQuantity="{ sum($items/@quant) }"/>
```

results

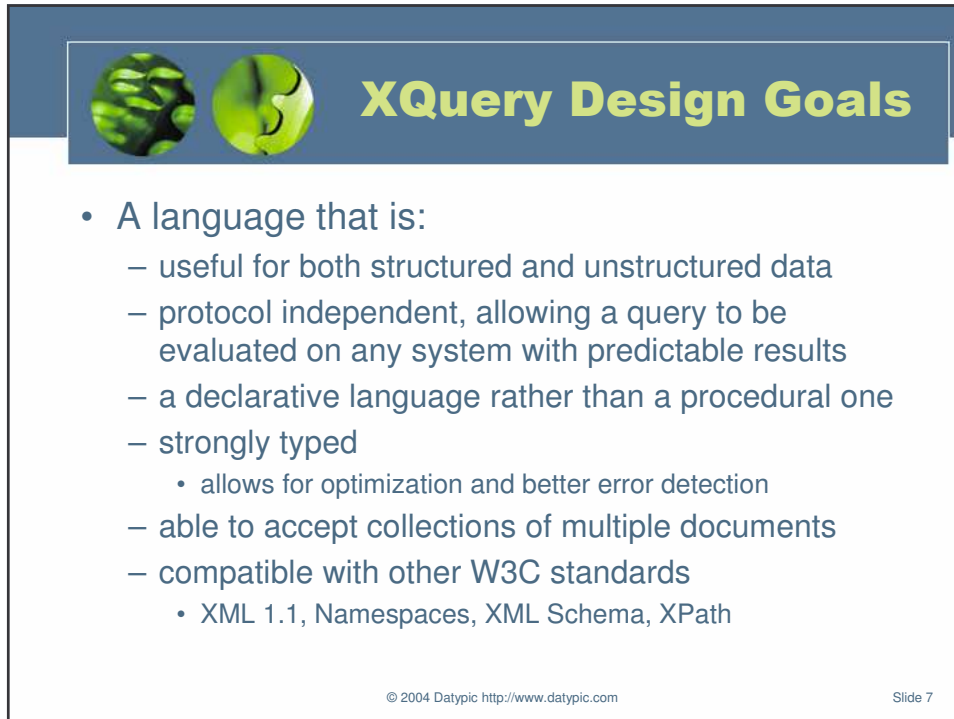
```
<department name="ACC" totalQuantity="3"/>
<department name="MEN" totalQuantity="2"/>
<department name="WMN" totalQuantity="2"/>
```



Uses for XQuery

- As varied as the uses for XML. Examples:
 - Extracting information from a database for use in a Web service
 - Generating summary reports on data stored in an XML database
 - Searching textual documents on the Web for relevant information and compiling the results
 - Selecting and transforming XML data to XHTML to be published on the Web
 - Pulling data from databases to be used for application integration
 - Splitting up an XML document that represents multiple transactions into multiple XML documents

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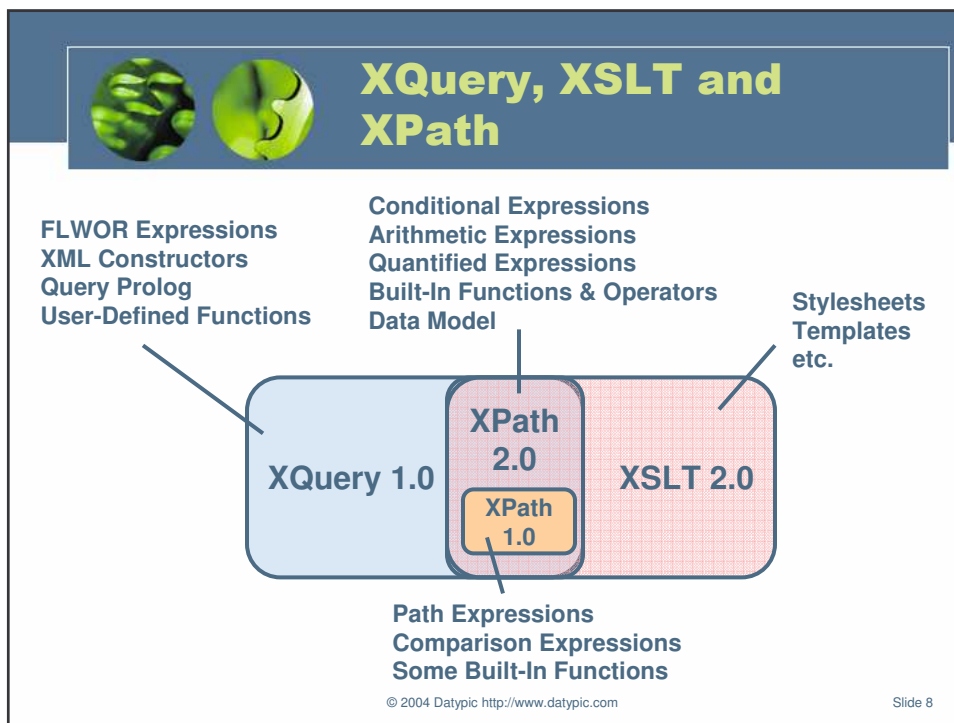


XQuery Design Goals

- A language that is:
 - useful for both structured and unstructured data
 - protocol independent, allowing a query to be evaluated on any system with predictable results
 - a declarative language rather than a procedural one
 - strongly typed
 - allows for optimization and better error detection
 - able to accept collections of multiple documents
 - compatible with other W3C standards
 - XML 1.1, Namespaces, XML Schema, XPath

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XQuery, XSLT and XPath

FLWOR Expressions
XML Constructors
Query Prolog
User-Defined Functions

Conditional Expressions
Arithmetic Expressions
Quantified Expressions
Built-In Functions & Operators
Data Model

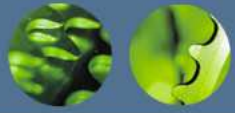
Stylesheets
Templates
etc.

XQuery 1.0 **XPath 2.0** / **XPath 1.0** **XSLT 2.0**

Path Expressions
Comparison Expressions
Some Built-In Functions

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Current Status

- Work in progress by the W3C XML Query Working Group
 - <http://www.w3.org/XML/Query>
- Current phase is Last Call Working Draft
- Will probably be finished in 2005

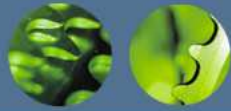
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The Example Documents

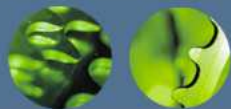




Product Catalog (assigned to \$cat)

```
<catalog>
  <product dept="WMN">
    <number>557</number>
    <name language="en">Linen Shirt</name>
    <colorChoices>beige sage</colorChoices>
  </product>
  <product dept="ACC">
    <number>563</number>
    <name language="en">Ten-Gallon Hat</name>
  </product>
  <product dept="ACC">
    <number>443</number>
    <name language="en">Golf Umbrella</name>
  </product>
  <product dept="MEN">
    <number>784</number>
    <name language="en">Rugby Shirt</name>
    <colorChoices>blue/white blue/red</colorChoices>
    <desc>Our <i>best-selling</i> shirt!</desc>
  </product>
</catalog>
```

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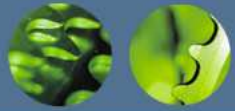


Prices (assigned to \$prices)

```
<prices>
  <priceList effDate="2004-11-15">
    <prod num="557">
      <price currency="USD">29.99</price>
      <discount type="CLR">10.00</discount>
    </prod>
    <prod num="563">
      <price currency="USD">69.99</price>
    </prod>
    <prod num="443">
      <price currency="USD">39.99</price>
      <discount type="CLR">3.99</discount>
    </prod>
  </priceList>
</prices>
```

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Order (assigned to \$ord)

```
<order num="00299432" date="2004-09-15" cust="0221A">  
  <item dept="WMN" num="557" quantity="1" color="beige"/>  
  <item dept="ACC" num="563" quantity="1"/>  
  <item dept="ACC" num="443" quantity="2"/>  
  <item dept="MEN" num="784" quantity="1" color="blue/white"/>  
  <item dept="MEN" num="784" quantity="1" color="blue/red"/>  
  <item dept="WMN" num="557" quantity="1" color="sage"/>  
</order>
```

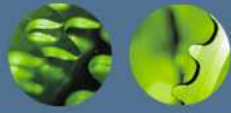
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Easing into XQuery





Selecting Nodes from the Input Document

- Open the product catalog

```
doc("catalog.xml")
```

calls a *function* named `doc` to open the `catalog.xml` file

- Retrieve all the product names

```
doc("catalog.xml")/catalog/product/name
```

navigates through the elements in the document using a *path expression*

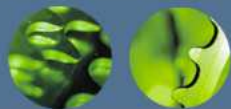
- Select only the product names from department ACC

```
doc("catalog.xml")/catalog/product[@dept='ACC']/name
```

uses a *predicate* to limit the products

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The Results

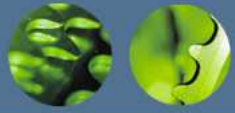
```
doc("catalog.xml")/catalog/product[@dept='ACC']/name
```



```
<name language="en">Ten-Gallon Hat</name>  
<name language="en">Golf Umbrella</name>
```

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Path Expressions and FLWOR Expressions

```
doc("catalog.xml")/catalog/product[@dept='ACC']  
/name
```

path expression

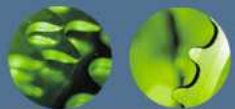
- Another way of saying the same thing:

```
for $product in  
  doc("catalog.xml")/catalog/product  
where $product/@dept='ACC'  
return $product/name
```

FLWOR expression

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Sort the Results

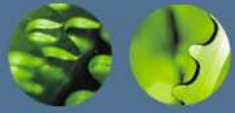
```
for $product in  
  doc("catalog.xml")/catalog/product  
where $product/@dept='ACC'  
order by $product/name  
return $product/name
```



```
<name language="en">Golf Umbrella</name>  
<name language="en">Ten-Gallon Hat</name>
```

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Wrap the Results in a ul Element

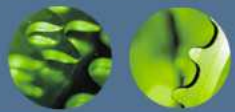
```
<ul type="square">{  
  for $product in  
    doc("catalog.xml")/catalog/product  
  where $product/@dept='ACC'  
  order by $product/name  
  return $product/name  
}</ul>
```



```
<ul type="square">  
  <name language="en">Golf Umbrella</name>  
  <name language="en">Ten-Gallon Hat</name>  
</ul>
```

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Wrap Each Name in an li Element

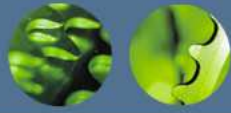
```
<ul type="square">{  
  for $product in  
    doc("catalog.xml")/catalog/product  
  where $product/@dept='ACC'  
  order by $product/name  
  return <li>{$product/name}</li>  
}</ul>
```



```
<ul type="square">  
  <li><name language="en">Golf Umbrella</name></li>  
  <li><name language="en">Ten-Gallon Hat</name></li>  
</ul>
```

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Eliminate the name Elements

```
<ul type="square">{  
  for $product in  
    doc("catalog.xml")/catalog/product  
  where $product/@dept='ACC'  
  order by $product/name  
  return <li>{data($product/name)}</li>  
}</ul>
```



```
<ul type="square">  
  <li>Golf Umbrella</li>  
  <li>Ten-Gallon Hat</li>  
</ul>
```

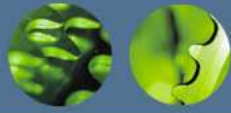
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The Data Model





Nodes, Atomic Values and Items

- Nodes
 - elements, attributes and other XML components

```
<number>557</number>  
dept="MEN"
```

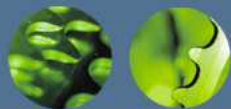
- Atomic values
 - individual data values, not an "element" or "attribute"

```
557  
"MEN"
```

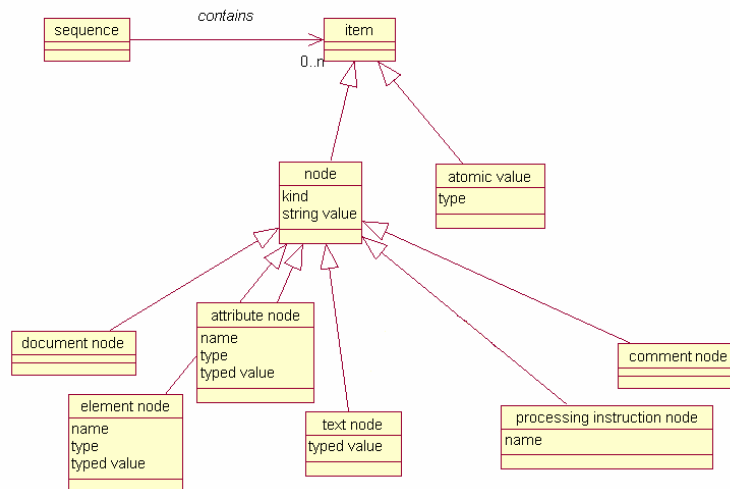
- Items
 - Atomic values *or* nodes

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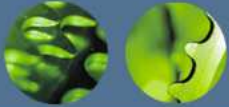


Components of the Data Model



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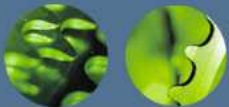


An XML Hierarchy of Nodes

```
<catalog>  
  <product dept="MEN">  
    <number>784</number>  
    <name language="en">Rugby Shirt</name>  
    <colorChoices>blue/white blue/red</colorChoices>  
    <desc>Our <i>best-selling</i> shirt!</desc>  
  </product>  
</catalog>
```

- document node
 - element node (catalog)
 - element node (product)
 - attribute node (dept)
 - element node (number)
 - text node (784)
 - element node (name)
 - attribute node (language)
 - text node ("Rugby Shirt")
 - element node (colorChoices)
 - text node ("blue/white blue/red")
 - element node (desc)
 - text node ("Our ")
 - element node (i)
 - text node ("best-selling")
 - text node (" shirt!")

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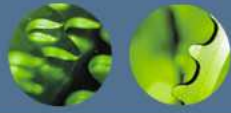


Nodes

- Nodes:
 - have a "kind"
 - element, attribute, text, document, processing instruction, comment
 - may have a name
 - **number**, **dept**
 - have a string value
 - "557", "**MEN**"
 - may have a typed value
 - integer 557, string **MEN**
 - have a unique identity

```
<number>557</number>  
dept="MEN"
```

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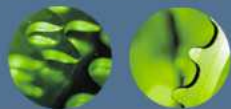


Family Relationships of Nodes

- Children
 - Element nodes can have zero, one or more children
 - Attributes are not considered children of an element node
- Parent
 - Each element and attribute node has one parent
 - Even though attributes are not children of elements, elements are parents of attributes!
- Ancestors
 - A node's parent, parent's parent, etc. all the way back to the document node
- Descendants
 - A node's children, children's children, etc.
- Siblings
 - Other nodes that have the same parent

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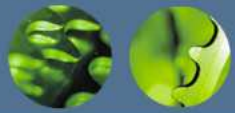


Atomic Values

- Individual data values
 - no association with any particular node
- Every atomic value has a type
 - based on the XML Schema atomic types
 - e.g. `xs:string`, `xs:integer`
 - can also be the generic type `xdt:untypedAtomic`
 - for input data when no schema is in use

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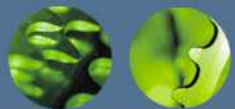


How Atomic Values Are Created

- using a literal value
 - `"Catalog", 12`
- the result of a function
 - `count (//number)`
- explicitly extracting the value of a node
 - `data (<number>557</number>)`
- automatically extracting the value of a node
 - process is called *atomization*
 - `substring (<number>557</number>, 1, 2)`

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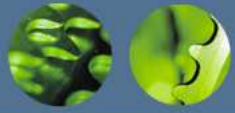


Sequences

- Ordered lists of zero, one or more items
- A sequence of one item is exactly the same as the item itself
- A sequence of zero items is known as "the empty sequence"
 - different from zero, a zero-length string ("")
- There are no sequences within sequences

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How Sequences Are Created

- Result of an expression that returns nodes
 - `catalog//product`
 - returns a sequence of `product` element nodes
 - `catalog//foo`
 - returns the empty sequence
- Constructed manually
 - `(1, 2, 3)`
 - returns a sequence of 3 atomic values (integer)
 - `(1 to 6)`
 - returns a sequence of 6 atomic values (integer)
 - `()`
 - returns the empty sequence

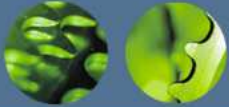
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XQuery Language Basics





Expressions: Basic Building Blocks

FLWOR Expression

```
for $d in distinct-values(doc("order.xml")//item/@dept)
let $items := doc("order.xml")/item[@dept = $d]
order by $d
return <department name="{ $d }"
totalQuantity="{sum($items/@quantity)}"/>
```

Path Expression

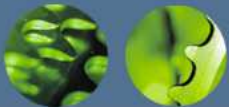
Element Constructor

Variable Reference

Function Call

Comparison Expression

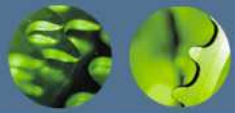
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The XQuery Syntax

- A declarative language of nested expressions
- Compact, non-XML syntax
- Case-sensitive
- Whitespace
 - tabs, space, carriage return, line feed
 - allowed (ignored) between language tokens
 - considered significant in quoted strings and constructed elements
- No special end-of-line character

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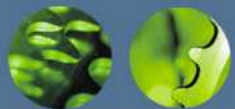


Keywords and Names

- Keywords and operators
 - case-sensitive, generally lower case
 - may have several meanings depending on context
 - e.g. "*" or "in"
 - no reserved words
- All names must be valid XML names
 - for variables, functions, elements, attributes
 - can be associated with a namespace

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Evaluation Order

- Every kind of expression has an evaluation order
 - e.g. **and** takes precedence over **or**

```
true() and true() or false() and false()
```

returns
true

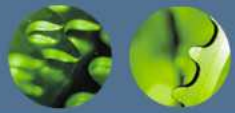
- Parentheses can be used around any expression to affect evaluation order

```
true() and (true() or false()) and false()
```

returns
false

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Literal Values

- Literal values can be expressed as:
 - strings (in single or double quotes)

```
$cat//product/@dept = "WMN"
```

- numbers

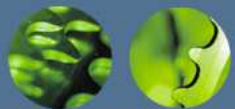
```
$ord//item/@quantity > 1
```

- values constructed to be of a specific type

```
$priceList/@effDate > xs:date("2004-10-11")
```

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Variable References

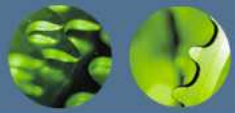
- Identified by a name preceded by a \$
- Variables are defined in several places
 - FLWOR expressions
 - Query prologs
 - Outside the query by the processor
 - Function signatures

```
for $prod in ($cat//product)  
return $prod/number
```

```
declare function local:getProdNum  
($prod as element()) as element()  
{ $prod/number };
```

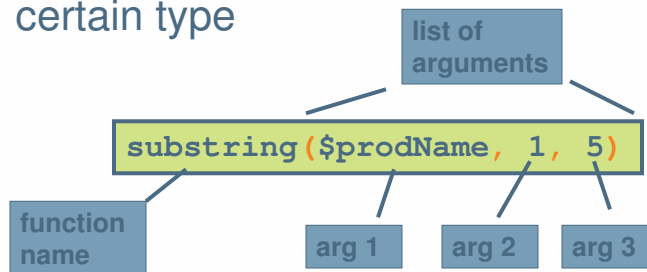
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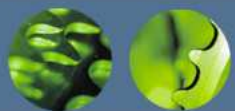
Function Calls

- An argument can be any single expression
 - e.g. a variable reference, a path expression
- An argument may be required to have a certain type



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Comments

- XQuery comments
 - Delimited by (: and :)
 - Anywhere insignificant whitespace is allowed
 - Do not appear in the results

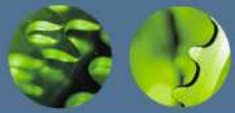
```
(: This query... :)
```

- XML comments
 - May appear in the results
 - XML-like syntax

```
<!-- This element... -->
```

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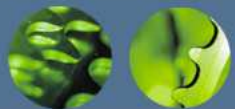


Comparisons

- Two kinds:
 - *value* comparisons
 - `eq`, `ne`, `lt`, `le`, `gt`, `ge`
 - used to compare individual values
 - each operand must be a single atomic value or a node containing a single atomic value
 - *general* comparisons
 - `=`, `!=`, `<`, `<=`, `>`, `>=`
 - can be used with sequences of multiple items

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Value vs. General Comparisons

```
$ord//item/@quantity > 1
```

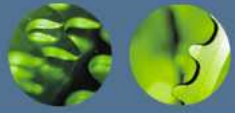
- returns true if any **quantity** attributes have values greater than 1

```
$ord//item/@quantity gt 1
```

- returns true if there is only *one* **quantity** attribute returned by the expression, and its value is greater than 1
- if more than one **quantity** is returned, an error is raised

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Conditional Expressions

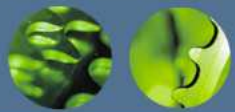
- if-then-else syntax

```
for $prod in ($cat/catalog/product)
return if ($prod/@dept = 'ACC')
  then <acc>{data($prod/number)}</acc>
  else <other>{data($prod/number)}</other>
```

- parentheses around `if` expression are required
- `else` is always required
 - but it can be just `else ()`

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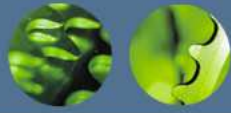
Effective Boolean Value

- "if" expression must be boolean
 - if it is not, its *effective boolean value* is found
- effective boolean value is false for:
 - the `xs:boolean` value `false`
 - the number 0 or `NaN`
 - a zero-length string
 - the empty sequence
- otherwise it is true

```
if ($ord//item) then "Item List: " else ""
```

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Logical Expressions

- **and** and **or** operators
 - **and** has precedence over **or**
 - use parentheses to change precedence

```
if ($isDiscounted and  
($discount > 10 or $discount < 0))  
then 10 else $discount
```

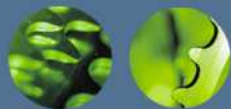
- Use **not** function to negate

```
if (not($isDiscounted)) then 0 else $discount
```

- As with conditional expressions, effective boolean value is evaluated

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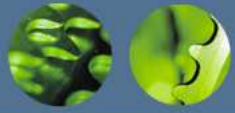


The Query Prolog

- Declarations of various settings, such as:
 - namespace declarations
 - function definitions
 - imports of external modules and schemas
 - default collation
- Appears before the body of the query
 - each declaration separated by a semicolon

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Query Prolog

```
xquery version "1.0";
declare namespace preserve;
declare namespace ord = "http://datypic.com/ord";
declare function local:getProdNums
  ($catalog as element()) as xs:integer*
  {for $prod in $catalog/product
   return xs:integer($prod/number)};

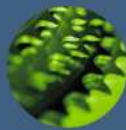
<title>Order Report</title>,
(for $item in doc("order.xml")//item
 order by $item/@num
 return $item)
```

prolog

query
body

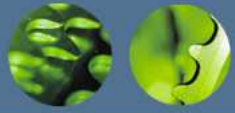
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Path Expressions





Path Expressions

- Used to traverse an input document, selecting elements and attributes of interest

```
doc("order.xml")/order/item/@dept
```

```
$ord/order/item[@dept = 'ACC']
```

```
item
```

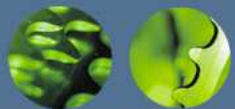
```
item[3]
```

```
//order/item
```

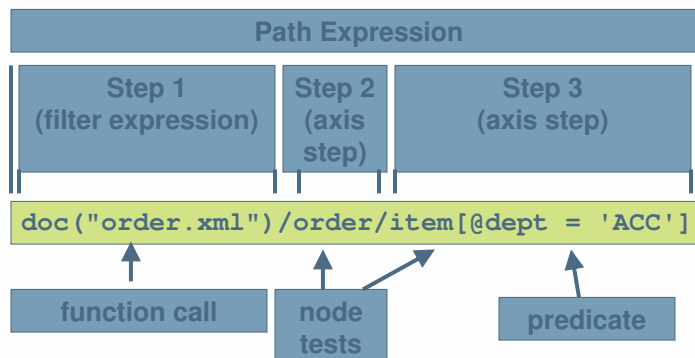
```
$ord//item
```

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Structure of a Path Expression



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Paths and Context

- Path expressions are always evaluated in a particular *context item*
- The initial context item (if any) is set outside the scope of the query

`doc("order.xml")/order/item` ← sets the context in the first step to the document node of order.xml

`order/item` ← relies on something outside the expression to provide the context (the order child of what?)

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Path Expressions and the Changing Context

context is set outside the query

`doc("order.xml") /` context is the document node of order.xml

`order/` context is the order element of order.xml

`item` context is each item child of order (in turn)

`[@dept = 'ACC']`

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Components of an Axis Step

```
/child::item[@dept = 'ACC']
```

↑ ↑ ↑

axis node test predicate

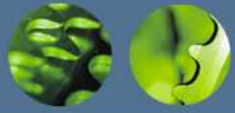
1. The axis (optional)
 - the direction to navigate
2. The node test
 - the nodes of interest by name or node kind
3. The predicates (optional and repeating)
 - the criteria used to filter nodes

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Axes

- Twelve axes allow you to specify the "direction" to navigate
 - e.g. `child::`, `parent::`, `descendant::`
- Their names are followed by `::`
 - some have abbreviations
- If none is specified, `child::` is the default

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child Axis

- Returns children
 - child elements, PIs, comments, text
 - but not attributes
- The default axis if none is specified

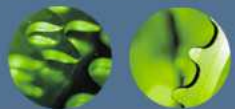
```
$ord/order/item
```

```
$ord/child::order/child::item
```

```
$ord/*/item
```

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attribute Axis

- Returns the attributes of an element
- Abbreviated with "@"

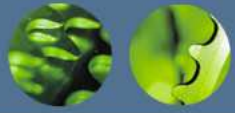
```
$ord/order/item/@dept
```

```
$ord/order/item/attribute::dept
```

```
$ord/order/item/@*
```

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descendant, descendant-or-self Axes

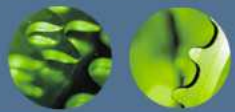
- Returns the children, the children's children, etc.
- Abbreviated by "//"

```
$ord//item
```

```
$ord/descendant-or-self::item
```

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parent Axis

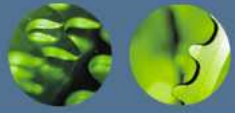
- Returns the parent element of a node
- Applies to all node kinds
- Abbreviated with ".."

```
$prodNum/..
```

returns the parent of the node bound to \$prodNum

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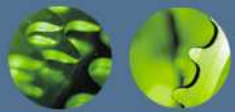


Other Axes

- **self**
 - the node itself (abbreviated as ".")
- **ancestor, ancestor-or-self**
 - the parent, the parent's parent, and so on
- **following** and **preceding**
 - all nodes that follow/precede it, in document order
- **following-sibling** and **preceding-sibling**
 - siblings that follow/precede it, in document order

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Node Tests

- Can consist of a:
 - node name (for elements/attributes)

```
$ord/order/item/@dept
```

- node kind

```
$cat/catalog/element()
```

```
$cat//number/text()
```

```
$cat/node()
```

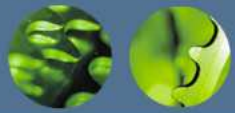
- wildcard (*)

```
$ord/order/*/@*
```

all the attributes of all the element children of order

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Filter Expressions

- Steps that use expressions instead of axes and node tests

```
doc("catalog.xml")/catalog/product
```

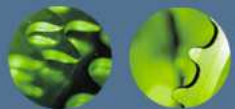
```
$cat/catalog/product
```

```
product/(number | name)
```

```
product/(if (desc) then desc else name)
```

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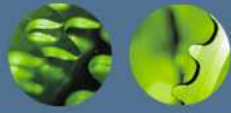
Predicates

- Filter nodes based on specific criteria
- Enclosed in square brackets [and]
- Zero, one or more in each step

```
$cat//product[number < 500]
```

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Predicates and Returned Elements

- Using **number** in a predicate does not mean that **number** elements are returned:

All **product** elements whose **number** child is less than 500:

```
product [number < 500]
```

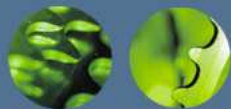
All **number** elements whose value is less than 500:

```
product/number [. lt 500]
```

A period (".") is used to indicate the context item itself

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Predicates and Comparison Operators

- General comparisons vs. value comparisons

All products that have only one **number** child, whose value is less than 500:

```
product [number lt 500]
```

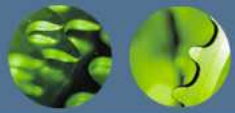
All products that have at least one **number** child, whose value is less than 500:

```
product [number < 500]
```

may have other **number** children whose values are greater than 500

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Predicates and Boolean Values

- Expression evaluates to a boolean value
 - effective boolean value (EBV) is used

All items that have a dept attribute that is equal to 'ACC':

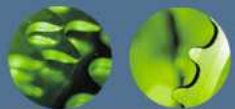
```
$ord//item[@dept = 'ACC']
```

true if the dept attribute exists and is equal to 'ACC'
false if the dept attribute exists and is not equal to 'ACC'
() if the dept attribute doesn't exist ==> converted to false

All items that have a dept attribute:

```
$ord//item[@dept]
```

the dept attribute if it exists ==> converted to true
() if the dept attribute doesn't exist ==> converted to false



Using Position in Predicates

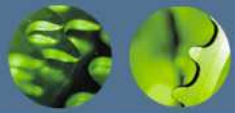
- Can use a number in the predicate to indicate the position of the child

The 4th product child of catalog:

```
catalog/product[4]
```

The 4th child of catalog (regardless of its name):

```
catalog/*[4]
```



Using the position and last Functions

- **position** returns the position of the node in the current sequence

The first three product children of catalog:

```
catalog/product [position() < 4]
```

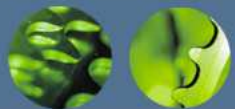
- **last** returns the number of items in the current sequence

The last product child of catalog:

```
catalog/product [last()]
```

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Multiple Predicates

- More than one predicate can be used to specify multiple constraints in a step
 - evaluated left to right

Products whose number is less than 500 *and* whose department is ACC:

```
product [number < 500] [@dept = "ACC"]
```

Of the products whose department is 'ACC', select the 2nd one:

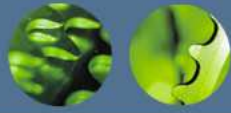
```
product [@dept = "ACC"] [2]
```

Take the 2nd product, if it's in the ACC department, select it:

```
product [2] [@dept = "ACC"]
```

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More Complex Predicates

- Predicate can contain any expression

products whose department contains 'A':

```
product  
  [contains(@dept, "A")]
```

products that have at least one child other than number :

```
product[* except number]
```

every other product :

```
product  
  [position() mod 2 = 0]
```

products that have desc children if the variable \$descFilter is true, otherwise all products:

```
product[if ($descFilter)  
  then desc else true()]
```

integers from one to a hundred that are divisible by 5:

```
(1 to 100)[. mod 5 = 0]
```

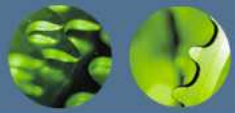
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Adding Elements and Attributes



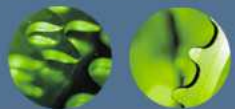


3 Ways to Add Elements/ Attributes to Results

- Including them from the input document
 - like most of our previous examples
- Using direct constructors
 - XML-like syntax
- Using computed constructors
 - special syntax using curly braces
 - allows for dynamic names

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Including from the Input Document

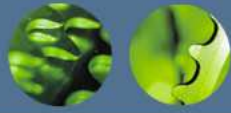
```
for $prod in $cat/catalog/product [@dept='ACC']  
return $prod/name
```

```
<name language="en">Ten-Gallon Hat</name>  
<name language="en">Golf Umbrella</name>
```

- **name** elements are included as is
 - along with their attributes (and children if any)
 - not just their atomic values
- no opportunity to change attributes, children, namespace

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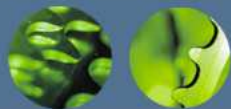
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Direct Element Constructor Example

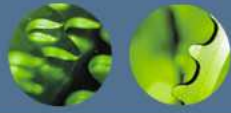
```
<html><h1>Product Catalog</h1>
<ul>{
  for $prod in $cat/catalog/product
  return <li>#{data($prod/number)
              } is {data($prod/name)}</li>
}</ul>
</html>
```

```
<html><h1>Product Catalog</h1>
<ul>
  <li>#557 is Linen Shirt</li>
  <li>#563 is Ten-Gallon Hat</li> ...
</ul>
</html>
```



Direct Element Constructors

- Use XML-like syntax
 - and follow the same rules (proper nesting, case sensitivity, etc.)
- Can contain:
 - literal content
 - other direct element constructors
 - enclosed expressions (in curly braces)
 - can evaluate to elements, attributes or atomic values
 - a mixture of all of the above



Containing Literal Content

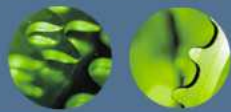
- All characters appearing outside curly braces are taken literally

```
<li>Product number {data($prod/number)}</li>
```

- Can contain:
 - character references, predefined entity references
 - whitespace (significant if combined with other chars)
- Cannot contain:
 - unescaped < and & characters
 - unescaped curly braces (double them to escape)

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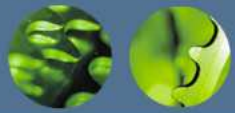
Containing Other Direct Element Constructors

```
<html>  
  <h1>Product Catalog</h1>  
  <p>A <i>huge</i> list of {  
    count($cat//product)} products.</p>  
</html>
```

- No curly braces, no special separators

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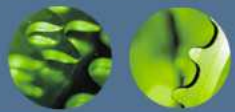


Containing Enclosed Expressions

- Enclosed in curly braces { and }
- Can evaluate to:
 - element nodes
 - they become children of the element
 - attribute nodes
 - they become attributes of the element
 - atomic values
 - they become character data content of the element
 - a combination of the above

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Enclosed Expressions Example

```
for $prod in $cat/catalog/product
return <li>{$prod/@dept}
      {concat("num", ": ")}
      {$prod/number}</li>
```

attribute node
becomes an
attribute

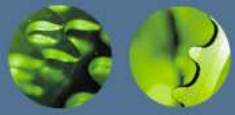
atomic value
becomes
character data
content

element node
becomes child

```
<li dept="WMN">num: <number>557</number></li>
<li dept="ACC">num: <number>563</number></li>
<li dept="ACC">num: <number>443</number></li>
<li dept="MEN">num: <number>784</number></li>
```

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Specifying Attributes Directly

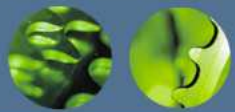
- Attributes can also have XML-like syntax

```
<h1 class="itemHdr">Product Catalog</h1>
<ul>{for $prod in $cat/catalog/product
      return <li class="{ $prod/@dept }">
        {data($prod/name)}</li>
      }</ul>
```

- Like element constructors, can contain:
 - literal content
 - enclosed expressions
 - but always evaluated to atomic values

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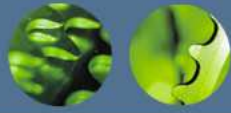
Use Case: Adding an Attribute to product

```
for $prod in $cat//product[@dept = 'ACC']
return <product id="{concat("P", $prod/number)}">
  { $prod/@* }
  { $prod/* }
</product>
```

```
<product dept="ACC" id="P563">
  <number>563</number>
  <name language="en">Ten-Gallon Hat</name>
</product>
<product dept="ACC" id="P443">
  <number>443</number>
  <name language="en">Golf Umbrella</name>
</product>
```

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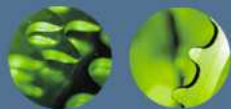


Computed Constructors

- Allow dynamic names and values
- Useful for:
 - copying elements from the input document but make minor changes to their content
 - e.g. add an `id` attribute to every element, regardless of name
 - turning content from the input document into element or attribute names
 - e.g. create an element whose name is the value of the `dept` attribute in the input document
 - looking up element names in a separate dictionary
 - e.g. for language translation

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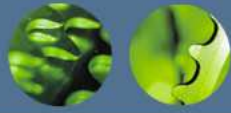
Computed Constructor Simple Example

```
element product {  
  attribute dept { "ACC" },  
  element {concat("num","ber")} { 563 },  
  element name { attribute language { "en"},  
                 "Ten-Gallon Hat" }  
}
```

```
<product dept="ACC">  
  <number>563</number>  
  <name language="en">Ten-Gallon Hat</name>  
</product>
```

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Use Case: Turning Content into Markup

```
for $dept in
  distinct-values ($cat/catalog/product/@dept)
return element {$dept}
  {$cat/catalog/product[@dept = $dept]/name}
```

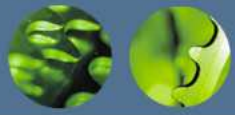
```
<WMN>
  <name language="en">Linen Shirt</name>
</WMN>
<ACC>
  <name language="en">Ten-Gallon Hat</name>
  <name language="en">Golf Umbrella</name>
</ACC>
<MEN>
  <name language="en">Rugby Shirt</name>
</MEN>
```

ie 83



Selecting and Filtering



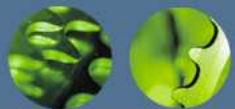


2 Ways to Select

- Path Expressions
 - great if you just want to copy certain elements and attributes as is
- FLWOR Expressions
 - allow sorting
 - allow adding elements/attributes to results
 - more verbose, but can be clearer

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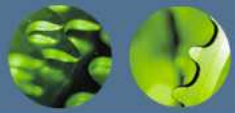
Clauses of a FLWOR Expression

- **for** clause
 - iteratively binds the `$prod` variable to each item returned by a path expression.
- **let** clause
 - binds the `$prodDept` variable to the value of the `dept` attribute
- **where** clause
 - selects nodes whose `dept` attribute is equal to "WMN" or "ACC"
- **return** clause
 - returns the `name` children of the selected nodes

```
for $prod in $cat//product
let $prodDept := $prod/@dept
where $prodDept = "ACC" or $prodDept = "WMN"
return $prod/name
```

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for Clauses

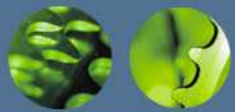
- Iteratively binds the variable to each item returned by the **in** expression
- The rest of the expression is evaluated once for each item returned
- Multiple **for** clauses are allowed in the same FLWOR

expression after
in can evaluate
to any sequence

```
for $prod in $cat//product
```

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Range Expressions

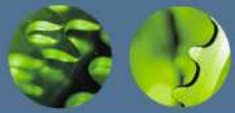
- Create sequences of consecutive integers
- Use **to** keyword
 - (1 to 5) evaluates to a sequence of 1, 2, 3, 4 and 5
- Useful in **for** clauses to iterate a specific number of times

```
for $i in (1 to 5)  
...
```

```
for $i in (1 to $prodCount)  
...
```

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Multiple Variables in a for Clause

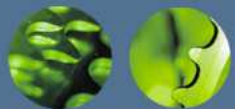
- Use commas to separate multiple `in` expressions
 - or multiple `for` clauses
- Return clause evaluated for every combination of variable values

```
for $i in (1, 2), $j in (11, 12)
return <eval>i is {$i} and j is {$j}</eval>
```

```
<eval>i is 1 and j is 11</eval>
<eval>i is 1 and j is 12</eval>
<eval>i is 2 and j is 11</eval>
<eval>i is 2 and j is 12</eval>
```

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Positional Variables in for Clauses

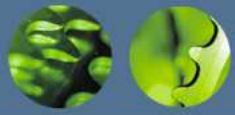
- Positional variable keeps track of the iteration number
- Use `at` keyword

```
for $prod at $i in
$cat//product[@dept = "ACC" or @dept = "WMN"]
return <eval>{$i}. {data($prod/name)}</eval>
```

```
<eval>1. Linen Shirt</eval>
<eval>2. Ten-Gallon Hat</eval>
<eval>3. Golf Umbrella</eval>
```

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let Clauses

- Convenient way to bind a variable
 - avoids repeating the same expression many times
- Does not result in iteration

```
for $i in (1 to 3)
return <eval>{$i}</eval>
```

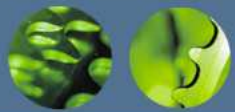
```
<eval>1</eval>
<eval>2</eval>
<eval>3</eval>
```

```
let $i := (1 to 3)
return <eval>{$i}</eval>
```

```
<eval>1 2 3</eval>
```

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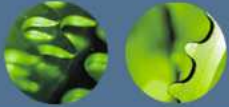
where Clause

- Used to filter results
- Can contain many subexpressions
- Evaluates to a boolean value
 - effective boolean value is used
- If **true**, return clause is evaluated

```
where $prod/number > 100
and starts-with($prod/name, "L")
and exists($prod/colorChoices)
and ($prodDept="ACC" or $prodDept="WMN")
```

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return Clause

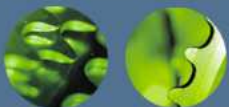
- The value that is to be returned


```
for $prod in $cat//product
return $prod/name
```
- Single expression only
 - can combine multiple expressions into a sequence

~~```
return <a>{$i}
 {$j}
```~~

```
return (<a>{$i},
 {$i})
```

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## Variable Binding and Referencing

- Variables are *bound* in the let/for clauses
- Once bound, variables can be *referenced* anywhere in the FLWOR

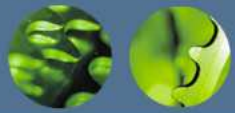
binding variables

```
for $prod in $cat//product
let $prodDept := $prod/dept
where $prodDept = "ACC"
return $prod/name
```

referencing variables

- Values cannot be changed once bound
  - e.g. no `let $count := $count + 1`

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## Quantified Expressions

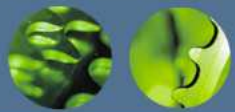
- To determine whether some or all items in a sequence meet a criteria
- Evaluates to a boolean value
- Use **some** or **every** with **satisfies**

```
some $dept in $cat//product/@dept
satisfies ($dept = "ACC")
```

```
every $dept in $cat//product/@dept
satisfies ($dept = "ACC")
```

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## Selecting Distinct Values

- Use the **distinct-values** function

```
distinct-values ($cat//product/@dept)
```

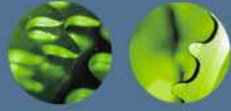
```
WMN, ACC, MEN
```

- Only works on atomic values or nodes with single atomic values
- Only accepts one argument
  - for a combination of multiple values, call **distinct-values** more than once using multiple **for** clauses

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## Selecting Combinations of Distinct Values

```
let $prods := $cat//product
for $d in distinct-values($prods/@dept) ,
 $n in distinct-values($prods[@dept=$d]/number)
return
<result dept="{ $d}" number="{ $n}"/>
```

\$d is bound to each distinct department

\$n is bound to each distinct number within a department

```
<result dept="WMN" number="557"/>
<result dept="ACC" number="563"/>
<result dept="ACC" number="443"/>
<result dept="MEN" number="784"/>
```

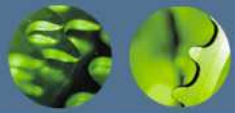
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## Sorting Results





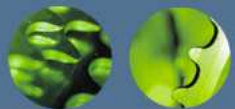
## order by Clause

- Only way to sort results in XQuery
- Use **order by** before **return** clause
- Order by
  - atomic values, or
  - nodes that contain individual atomic values
- Can specify multiple values to sort on

```
for $item in doc("order.xml")//item
order by $item/@dept, $item/@num
return $item
```

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## More Complex order by Clauses

- Not limited to a simple path expression
  - function calls

```
order by substring($item/@dept, 2, 2)
```

- conditional expressions

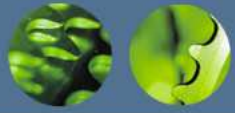
```
order by (if ($item/@color) then
$item/@color else "unknown")
```

- lookup in a separate document

```
order by doc("catalog.xml")//product[number
= $item/@num]/name
```

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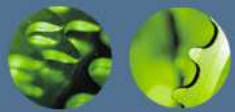
## Reversing the Order

- Reverse the order of a sequence using the **reverse** function
- Works for nodes and atomic values

`reverse( (6, 3, 2) )` → `(2, 3, 6)`

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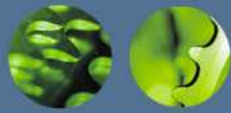


## Document Order

- The document order of a set of nodes is:
  - the document node itself
  - each element node in order of the appearance of its first tag, followed by:
    - its attribute nodes, in an implementation-defined order
    - its children (text nodes, child element nodes, comment nodes, and processing-instruction nodes in the order they appear)

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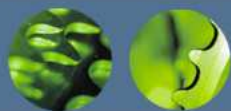
## When Document Order is Applied

- Certain expressions return results in document order:
  - path expressions
  - union, except and intersect operators
- Beware of inadvertent resorting

```
let $sortedProds := for $prod in $cat//product
 order by $prod/number
 return $prod
for $prodName in $sortedProds/name ← path expression resorts
return {string($prodName)}
```

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## Comparing on Document Order

- To compare nodes based on document order, use
  - << for precedes
  - >> for follows

```
$cat//product [1] << $cat//product [2]
```

returns true

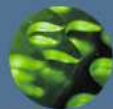
- Works for nodes only
  - no document order on atomic values

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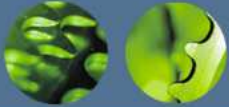


## Combining and Joining Results



## Joins

- Combining two or more input documents into one result set
- Another use for multiple `for` clauses



## Two-Way Join in a Predicate

```

for $item in $ord//item,
 $product in $cat//product[number=$item/@num]
return
 <item num="{ $item/@num }"
 name="{ $product/name }"
 quan="{ $item/@quantity }"/>

```

access order document

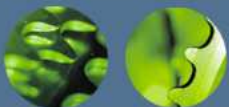
join item numbers to product numbers

```

<item num="557" name="Linen Shirt" quan="1"/>
<item num="563" name="Ten-Gallon Hat" quan="1"/>
<item num="443" name="Golf Umbrella" quan="2"/>
<item num="784" name="Rugby Shirt" quan="1"/>
<item num="784" name="Rugby Shirt" quan="1"/>
<item num="557" name="Linen Shirt" quan="1"/>

```

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## Two-Way Join in a where Clause

```

for $item in $ord//item,
 $product in $cat//product
where $item/@num = $product/number
return
 <item num="{ $item/@num }"
 name="{ $product/name }"
 quan="{ $item/@quantity }"/>

```

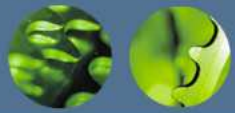
join

```

<item num="557" name="Linen Shirt" quan="1"/>
<item num="563" name="Ten-Gallon Hat" quan="1"/>
<item num="443" name="Golf Umbrella" quan="2"/>
<item num="784" name="Rugby Shirt" quan="1"/>
<item num="784" name="Rugby Shirt" quan="1"/>
<item num="557" name="Linen Shirt" quan="1"/>

```

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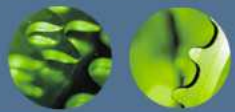


## Outer Joins

- All items from the first input document, and their matches from the second document *if available*
- For example, a list of products, and their prices if available
- Use two FLWOR expressions

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## Outer Join

```
for $product in $cat//product
return
 <product
 number="{ $product/number}"
 price="{for $price in $prices//prices//prod
 where $product/number = $price/@num
 return $price/price}"/>
```

embedded FLWOR

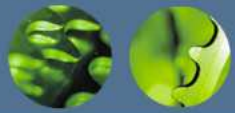
join

```
<product number="557" price="29.99"/>
<product number="563" price="69.99"/>
<product number="443" price="39.99"/>
<product number="784" price="" />
```

no corresponding price

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## Combining Sequences

- concatenation
  - duplicates are not removed, order is retained

```
($cat//product, $cat2//product)
```

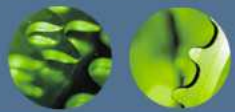
- **union, intersect** and **except**

- duplicates are removed
- results are sorted in document order
- work on sequences of *nodes* only, not atomic values

```
$cat//product union $cat2//product
$cat//product | $cat2//product
$cat//product intersect $cat2//product
$cat//product except $cat2//product
```

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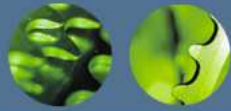
## Grouping

- Summarize or organize information into categories
- For example:
  - group all the items in an order by department
  - put the items for each department within a **dep** element whose **code** attribute is the department number

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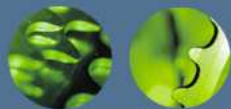




## Grouping By Department

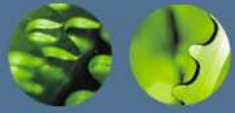
```
for $d in distinct-values($ord//item/@dept)
let $items := $ord//item[@dept = $d]
order by $d
return <dep code="{ $d }">{$items}</dep>
```

```
<dep code="ACC">
 <item dept="ACC" num="443" quantity="2"/>
 <item dept="ACC" num="563" quantity="1"/>
</dep>
<dep code="MEN">
 <item dept="MEN" num="784" quantity="1" color="blue"/>
 <item dept="MEN" num="784" quantity="1" color="blue"/>
</dep>
<dep code="WMN">
 <item dept="WMN" num="557" quantity="1" color="beige"/>
 <item dept="WMN" num="557" quantity="1" color="sage"/>
</dep>
```



## Aggregating

- Make summary calculations on grouped data
- Useful functions
  - **sum, avg, max, min, count**
- For example:
  - for each department, find the number of distinct items and the total quantity of items



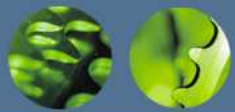
## Aggregating By Department

```
for $d in distinct-values($ord//item/@dept)
let $items := $ord//item[@dept = $d]
order by $d
return <department code="{ $d }"
 numItems="{ count($items) }"
 distinctItemNums="{
 count(distinct-values($items/@num)) }"
 totQuant="{ sum($items/@quantity) }"/>
```

```
<department code="ACC" numItems="2"
 distinctItemNums="2" totQuant="3"/>
<department code="MEN" numItems="2"
 distinctItemNums="1" totQuant="2"/>
<department code="WMN" numItems="2"
 distinctItemNums="1" totQuant="2"/>
```

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## Aggregating By Department and Number

```
for $d in distinct-values($ord//item/@dept)
for $n in
 distinct-values($ord//item[@dept=$d]/@num)
let $items := $ord//item[@dept=$d and @num=$n]
order by $d, $n
return <group dept="{ $d }" num="{ $n }"
 numItems="{ count($items) }"
 totQuant="{ sum($items/@quantity) }"/>
```

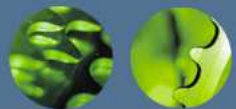
```
<group dept="ACC" num="443" numItems="1" totQuant="2"/>
<group dept="ACC" num="563" numItems="1" totQuant="1"/>
<group dept="MEN" num="784" numItems="2" totQuant="2"/>
<group dept="WMN" num="557" numItems="2" totQuant="2"/>
```

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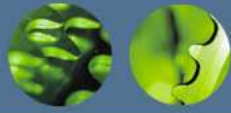
## Namespaces



## A Product Catalog with a Namespace

```
<catalog xmlns="http://datypic.com/cat"
 xmlns:prod="http://datypic.com/prod">
 <number>1446</number>
 <prod:product>
 <prod:number>563</prod:number>
 <prod:name language="en">Ten-Gallon
 Hat</prod:name>
 </prod:product>
</catalog>
```

- For examples in this course, this document is bound to `$nscat` variable

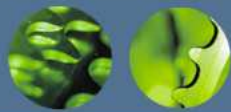


## Qualified Names

- Names in XQuery queries are namespace-qualified:
  - elements and attributes
    - from input documents, newly constructed elements
  - functions
  - types
  - variables

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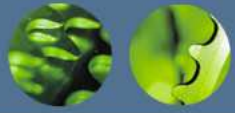


## Built-in Prefixes and Namespaces

Prefix	Namespace	Uses
xml	<a href="http://www.w3.org/XML/1998/namespace">http://www.w3.org/XML/1998/namespace</a>	XML attributes such as <code>xml:lang</code> and <code>xml:space</code> .
xs	<a href="http://www.w3.org/2001/XMLSchema">http://www.w3.org/2001/XMLSchema</a>	XML Schema built-in types
xsi	<a href="http://www.w3.org/2001/XMLSchema-instance">http://www.w3.org/2001/XMLSchema-instance</a>	XML Schema instance attributes such as <code>xsi:type</code> and <code>xsi:nil</code> .
fn	<a href="http://www.w3.org/2007/03/xpath-functions">http://www.w3.org/2007/03/xpath-functions</a>	All built-in functions
xd	<a href="http://www.w3.org/2007/03/xpath-datatypes">http://www.w3.org/2007/03/xpath-datatypes</a>	XQuery built-in types
local	<a href="http://www.w3.org/2007/03/xquery-local-functions">http://www.w3.org/2007/03/xquery-local-functions</a>	locally declared functions that are not in a specific namespace

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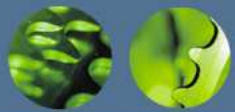


## Declaring Namespaces in Queries

- 2 ways:
  - in the query prolog
  - in a direct element constructor

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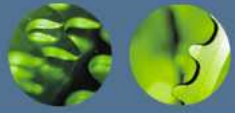


## Declaring a Namespace in the Prolog

- In scope throughout the query body
- If it associated with a prefix:
  - prefix can be used with any name
- If it is a default namespace declaration:
  - applies to elements, types
    - *even in path expressions* (unlike XPath1.0)
  - not to variables, functions

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Slide 122



## Declaring a Namespace in the Prolog Example

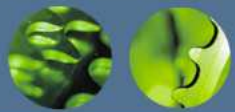
```
declare default element namespace
 "http://datypic.com/catreport";
declare namespace cat = "http://datypic.com/cat";
declare namespace prd = "http://datypic.com/prod";
<catReport> {
 for $product in $nscat/cat:catalog/prd:product
 return <lineItem>
 { $product/prd:number }
 <prd:name> {
 prd:formatName ($product/prd:name)
 } </prd:name>
 </lineItem>
} </catReport>
```

used for some result elements

used for input elements

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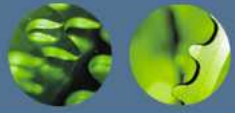
## Declaring a Namespace in the Prolog Result

```
<catReport xmlns="http://datypic.com/catreport">
 <lineItem>
 <prd:number xmlns:prd="http://datypic.com/prod">
 563</prd:number>
 <prd:name xmlns:prd="http://datypic.com/prod">
 [en] Ten-Gallon Hat</prd:name>
 </lineItem>
 </catReport>
```

- Note: processors are not required to use the same prefixes that appear in the query

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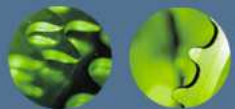
## Declaring a Namespace in a Direct Constructor

- Uses XML-like syntax
- Must be static
  - unlike attributes, value cannot be expressed as an enclosed expression
- Scope is the element being constructed

```
<catReport xmlns="http://datypic.com/catreport"
 xmlns:cat="http://datypic.com/cat" ...
```

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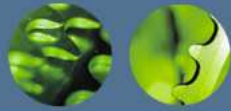
## Declaring a Namespace in a Direct Constructor

```
<catReport xmlns="http://datypic.com/catreport"
 xmlns:cat="http://datypic.com/cat"
 xmlns:prd="http://datypic.com/prod"> {
for $product in $nscat/cat:catalog/prd:product
return <lineItem>
 {$product/prd:number}
 <prd:name> {
 prd:formatName ($product/prd:name)
 } </prd:name>
 </lineItem>
} </catReport>
```

- Same results as previous example
  - but namespace declarations are different

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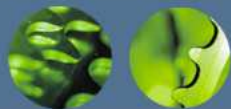


## Declaring a Namespace in a Constructor Result

```
<catReport xmlns="http://datypic.com/catreport"
 xmlns:cat="http://datypic.com/cat"
 xmlns:prd="http://datypic.com/prod">
 <lineItem>
 <prd:number>563</prd:number>
 <prd:name>[en] Ten-Gallon Hat</prd:name>
 </lineItem>
</catReport>
```

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## Subtle Differences

- Statically-known namespaces
  - all namespace declarations in scope
    - including those from prolog and all constructors
- In-scope namespaces
  - namespaces in scope for an element
    - those declared in its constructor
    - those used in its name
    - those in scope for its parent that are not undeclared

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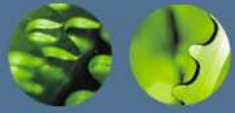
Slide 128



A slide with a dark blue header bar. On the left side of the header bar, there are two circular icons of green globes. To their right, the word "Functions" is written in a bold, yellow-green font. Below the header bar, the slide content is on a white background. It contains a bulleted list with two main items: "Built-in functions" and "User-defined functions". Each item has one or two sub-points. At the bottom of the slide, there is a small copyright notice and a slide number.

- Built-in functions
  - over 100 functions built into XQuery
  - names do not need to be prefixed when called
- User-defined functions
  - defined in the query or in a separate module
  - names must be prefixed

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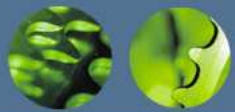


## Built-In Functions: A Sample

- String-related
  - `substring`, `contains`, `matches`, `concat`,  
`normalize-space`, `tokenize`
- Date-related
  - `current-date`, `months-from-date`, `adjust-time-`  
`to-timezone`
- Number-related
  - `round`, `avg`, `sum`, `ceiling`
- Sequence-related
  - `index-of`, `insert-before`, `reverse`,  
`subsequence`, `distinct-values`

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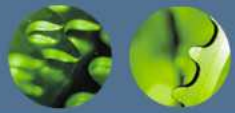


## More Built-In Functions

- Node-related
  - `data`, `empty`, `exists`, `id`, `idref`
- Name-related
  - `local-name`, `in-scope-prefixes`, `QName`,  
`resolve-QName`
- Error handling and trapping
  - `error`, `trace`, `exactly-one`
- Document- and URI-related
  - `collection`, `doc`, `root`, `base-uri`

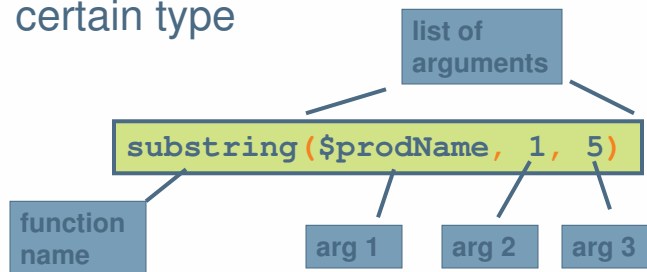
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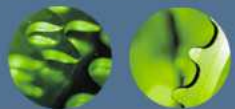
## Function Calls

- An argument can be any single expression
  - e.g. a variable reference, a path expression
- An argument may be required to have a certain type



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## Where Function Calls Appear

- Generally where any expression may appear, for example:

- in a `let` clause

```
let $name := (substring($prodName, 1, 5))
```

- in a newly constructed element

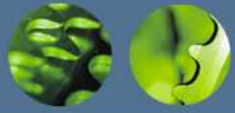
```
<name>{substring($prodName, 1, 5)}</name>
```

- in the predicate of a path expression

```
$cat/catalog/product
 [substring(name, 1, 3) = 'Ten']
```

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## Function Signatures

- Every function has one or more signatures
  - defines name, parameters and return type

```
substring($sourceString as xs:string?,
 $startingLoc as xs:double)
 as xs:string
```

function name

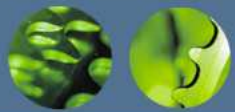
parameter name

parameter type

return type

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## Multiple Function Signatures

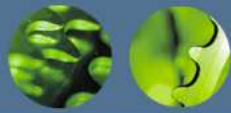
- Some functions have more than one signature
  - each signature must specify a different number of parameters

```
substring($sourceString as xs:string?,
 $startingLoc as xs:double)
 as xs:string
substring($sourceString as xs:string?,
 $startingLoc as xs:double,
 $length as xs:double)
 as xs:string
```

second  
signature  
has extra  
parameter

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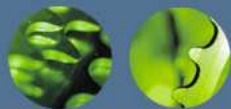


## Sequence Types

- Parameter types and return types are expressed as *sequence types*
- Most common are simple type names
  - e.g. `xs:string`, `xs:integer`, `xs:double`
  - argument must be an atomic value of that type
- Other sequence types:
  - `item()`, `node()`, `element()`, `attribute()`

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## Sequence Types and Occurrence Indicators

- Occurrence indicators indicate how many items are allowed:
  - ? for zero or one items
  - \* for zero, one or many items
  - + for one or many items
  - *no indicator* for one and only one item

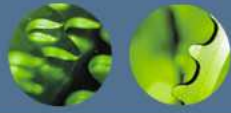
```
count($arg as item()*) as xs:integer
```

argument can be zero,  
one or more items  
(atomic values or nodes)

return type is always a  
single integer

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## Argument Lists

- Must match the parameters in one of the function signatures in number and type

```
substring($prodName, 1, 5)
```

```
substring($prodName, 1)
```

```
substring($prodName, 1, ())
```

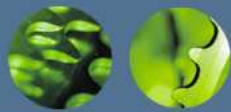
```
substring($prodName, "1")
```

type must match;  
string is not valid

passing the  
empty sequence  
is not the same  
as omitting the  
argument

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## Argument Lists and Sequences

- Argument list syntax is similar to sequence construction syntax
  - do not confuse them

signature for max

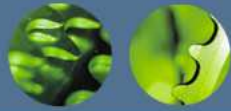
```
max($arg as xdt:anyAtomicType*)
as xdt:anyAtomicType?
```

```
max((1, 2, 3))
```

```
max(1, 2, 3)
```

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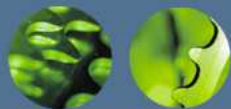


## Function Conversion Rules

- Used when the type of an argument doesn't match the type in the signature
- Rules
  - Step 1: values are *atomized*
    - if it is expecting an atomic value and it receives a node
    - atomic value is automatically extracted from the node
  - Step 2: untyped values are cast
    - if it is expecting an integer and it receives an untyped value
  - Step 3: numeric values are promoted
    - if it is expecting a decimal and it receives an integer

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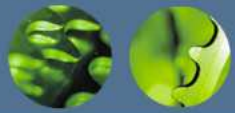


## Function Conversion Rules Example

- If a function expects an argument of type `xs:decimal`? it accepts all of the following:
  - An atomic value of type `xs:decimal`
  - The empty sequence, because the occurrence indicator (?) allows for it
  - An atomic value of type `xs:integer`, because `xs:integer` is derived from `xs:decimal`
  - An untyped atomic value, whose value is 12.5, because it is cast to `xs:decimal` (Step 2)
  - An element node of type `xs:decimal`, because its value is extracted (Step 1)
  - An untyped element node whose content is 12.5, because its value is extracted (Step 1) and cast to `xs:decimal` (Step 2)
- It does not accept
  - an atomic value of type `xs:string`

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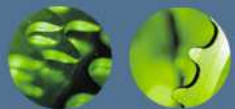


## Defining Your Own Functions

- Can be defined either
  - in the prolog;
  - in a separate library
- Use **declare function** keywords
  - followed by signature
  - followed by body of function in curly braces
- Name must be
  - a valid XML name
  - prefixed with a declared prefix or **local**

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## User-Defined Function Example

```
declare function local:discountPrice(
 $price as xs:decimal?,
 $discount as xs:decimal?,
 $maxDiscountPct as xs:integer?)
 as xs:decimal?
{
 let $maxDiscount := ($price * $maxDiscountPct) div 100
 let $actualDiscount := (if ($maxDiscount lt $discount)
 then $maxDiscount
 else $discount)
 return ($price - $actualDiscount)
};
local:discountPrice($prod/price, $prod/discount, 15)
```

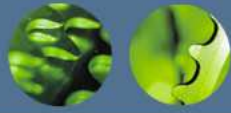
function  
definition

function  
call

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## Functions and Context

- Context *does not* change in function body

```
declare function local:prod2ndDigit() as xs:string? {
 substring(number, 2, 1)};

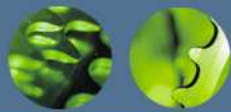
for $prod
 in ($cat//product[local:prod2ndDigit() > '5'])
return $prod
```

no context for number

```
declare function local:prod2ndDigit($prod as element()?)
 as xs:string? {
 substring($prod/number, 2, 1)};

for $product
 in ($cat//product[local:prod2ndDigit(.) > '5'])
return $product
```

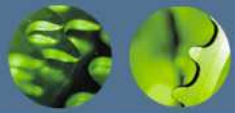
context is passed  
as argument



## Recursive Functions

- Functions can call themselves
- For example:
  - to count the number of descendants of an element

```
declare function local:numDescendants
 ($el as element()) as xs:integer {
 sum(for $child in $el/*
 return local:numDescendants($child) + 1)
 };
```

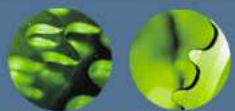


## Functions in Library Modules

- Functions can be imported from separate modules, called *library modules*
- The importing query is the *main module*
- Library modules:
  - start with a *module declaration*
  - have a target namespace that applies to all functions and variables declared in it
  - are all prolog; do not have a query body
  - can import other library modules

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## Module Import Example

### main module

```
import module
 namespace strings = "http://datypic.com/strings"
 at "http://datypic.com/strings/lib.xq";

<results>strings:trim($cat//name[1])</results>
```

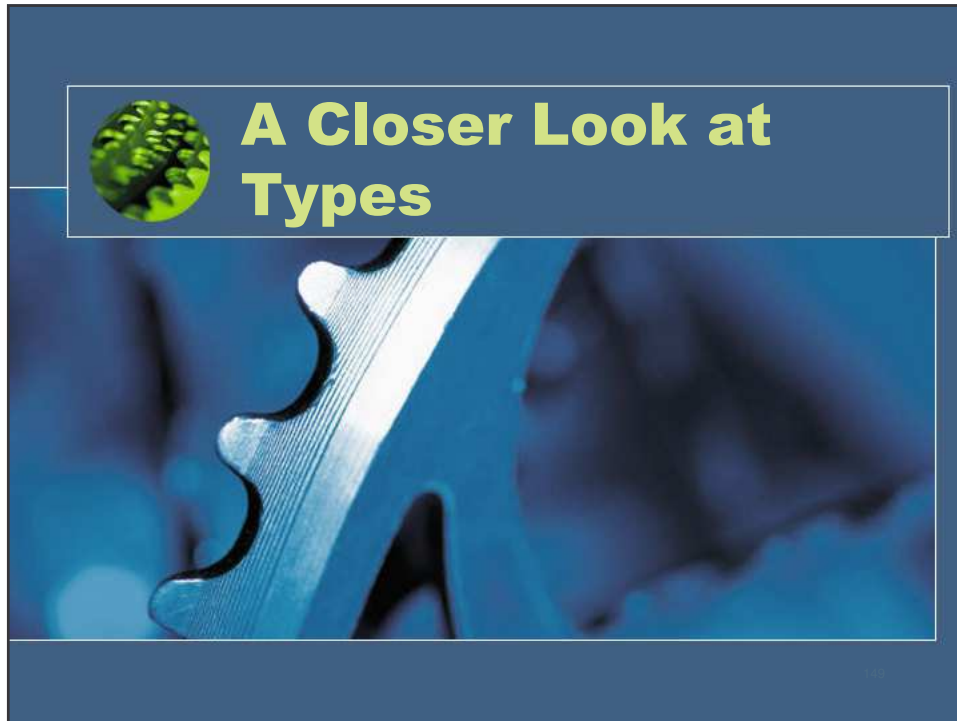
target namespace

### library module

```
module namespace strings = "http://datypic.com/strings";
declare function strings:trim($arg as xs:string?)
 as xs:string? {
 (: ...function body here... :)
};
```

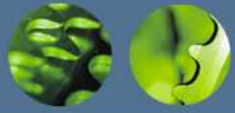
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A slide with a dark blue header bar. On the left side of the header, there are two circular icons: the first is a green textured globe, and the second is a green globe with a white, curved shape on its surface. To the right of these icons, the title "The XQuery Type System" is written in a bold, yellow-green font.

- Two kinds of types:
  - built-in types
    - simple types mostly based on XML Schema
  - imported types
    - simple and complex types defined by users in XML schemas

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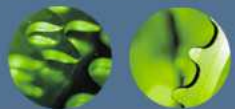


## 44 Types Built Into XML Schema

- String types
  - e.g. `xs:string`, `xs:token`, `xs:language`
- Numeric types
  - e.g. `xs:integer`, `xs:decimal`, `xs:float`
- Date and time types
  - e.g. `xs:date`, `xs:time`, `xs:duration`
- XML 1.0 types
  - e.g. `xs:NMTOKEN`, `xs:ID`, `xs:ENTITY`
- Others
  - e.g. `xs:boolean`, `xs:anyURI`, `xs:QName`

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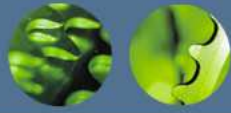


## 4 Types Added by XQuery/XPath

- Ordered duration types
  - `xdt:dayTimeDuration`
  - `xdt:yearMonthDuration`
- Untyped data (for XML data with no schema)
  - `xdt:untyped`
  - `xdt:untypedAtomic`
- Generic atomic type (for function signatures)
  - `xdt:anyAtomicType`

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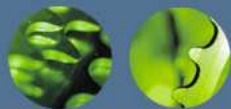


## Constructors and Casting

- Every atomic type has a corresponding *constructor* function
  - allows you to create values of that type
- For example, `xs:date("2004-12-15")`
  - creates an atomic value of type `xs:date`
- Argument can be an `xs:string`, and in some cases other types
  - for example, `xs:float(12)` creates an `xs:float` value from an `xs:integer` value

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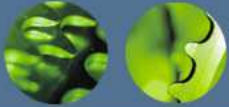


## XQuery is Strongly Typed

- Every expression evaluates to zero, one or more nodes or atomic values
- Every node and atomic value has a type
  - Elements & attributes have *type annotations*
  - Atomic values have *types*

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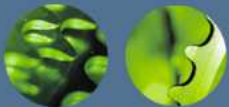
## Expressions and Their Types

```

sequence of department element nodes sequence of atomic string values
┌──────────────────────────────────────────┴──────────────────────────────────────────┐
for $d in distinct-values(doc("order.xml")//item/@dept)
let $items := doc("order.xml")/item[@dept = $d]
order by $d
return <department name="{ $d }"
 totalQuantity="{sum($items/@quantity)}" />
└──────────────────────────────────────────┴──────────────────────────────────────────┘
name attribute node atomic integer value atomic string value
document node

```

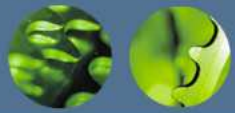
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## Type Checking in XQuery

- Static analysis finds:
  - errors that do not depend on input data
    - syntax errors
    - referring to functions, variables or other names that are not declared
    - static type errors, e.g. "abc" + 3
- Dynamic analysis finds:
  - errors that are the result of data problems
    - e.g. `sum($cat//number)` raises an error if a `number` does not contain valid numeric data

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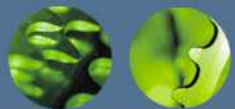


## Strong Typing: Pros and Cons

- Pro
  - easy identification of static errors
    - saves time debugging and testing
    - identifies errors that even testing may not ever uncover
- Con
  - adds complexity because explicit casting is required in some cases

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## Do I Have to Pay Attention to Types?

- Usually, no, not if you don't want to.
  - without a schema, your XML data is "untyped"
    - it has one of the "untyped" types:
      - `xdt:untyped` for elements,
      - `xdt:untypedAtomic` for attributes and atomic values
  - in most expressions, untyped values are cast to the expected types

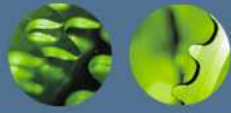
`product/number + 23`

`sum(product/number)`

if number is untyped, it is cast to a numeric type automatically

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## When You Have to Pay Attention to Types

- **number** and **string** functions can be used to cast between types

```
for $i in (1 to 3)
return <link>{
 concat("Link", $i, ".html")
}</link>
```

type error because the concat function is expecting xs:string values only; \$i is an xs:integer.

```
for $i in (1 to 3)
return <link>
 {concat("Link", string($i), ".html")}
}</link>
```

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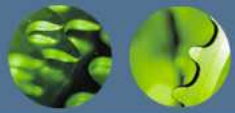
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## Schemas





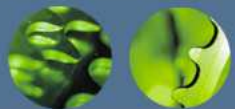


## What's a Schema?

- Describes the structure and data content of an XML document
- Can be used to validate XML documents
  - input documents or result documents
- Can be used to pass information to the query about types

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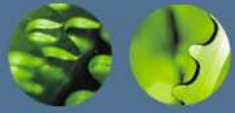
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## Schema Example

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
 targetNamespace="http://datypic.com/prod.xsd"
 elementFormDefault="qualified">
 <xs:element name="product" type="ProductType"/>
 <xs:complexType name="ProductType">
 <xs:sequence>
 <xs:element name="number" type="xs:integer"/>
 <xs:element name="name" type="NameType"/>
 </xs:sequence>
 <xs:attribute name="dept" type="xs:string"/>
 </xs:complexType>
 <xs:complexType name="NameType">
 <xs:simpleContent>
 <xs:extension base="xs:string">
 <xs:attribute name="language" type="xs:string"/>
 </xs:extension>
 </xs:simpleContent>
 </xs:complexType>
</xs:schema>
```

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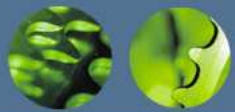


## Benefits of Using Schemas

- Predictability of input documents
  - no need to use if-thens to check input data before processing
- Better identification of static errors
  - allows discovery of errors in the query that were not otherwise apparent
- Validity of query results
  - provides guarantee that a query will produce results that always conform to, for example, XHTML
- Special processing based on type
  - you could write an expression that processes the address element differently depending on whether it is of type `USAddressType`, `UKAddressType`, etc.

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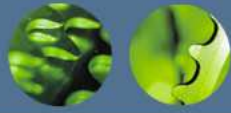


## Schemas and XQuery

- Use of schemas is *optional*
- Some processors may:
  - validate documents when they are accessed e.g. using the `doc` function
    - or even outside the scope of the query
  - allow you to import schema documents
  - allow you to explicitly validate input or result documents using these imported definitions

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## Using Schemas to Validate Results

```
import schema
 default element namespace
 "http://datypic.com/prod"
 at "http://datypic.com/prod.xsd";

validate strict {
 <product dept="ACC" xmlns="http://datypic.com/prod">
 <number>563</number>
 <name language="en">Ten-Gallon Hat</name>
 </product> }
```

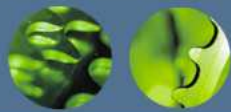
target namespace

schema location

explicit validation

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## Using Schemas to Catch Static Errors

```
import schema
 default element namespace
 "http://datypic.com/prod"
 at "http://datypic.com/prod.xsd";

for $prod in doc("catalog.xml")/product
order by $prod/product/number
return $prod/name + 1
```

misspelling

invalid path;  
product will never  
have product child

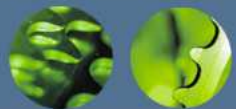
type error: name is declared  
to be of type xs:string, so  
cannot be used in an add  
operation

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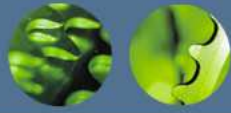


## Resources



## W3C Recommendations

- Many documents; varying degrees of readability
- The most useful are:
  - XQuery 1.0: An XML Query Language
    - the basic syntax of XQuery
  - XQuery 1.0 and XPath 2.0 Functions and Operators
    - the built-in functions
  - XML Query Use Cases
    - good examples of queries for specific uses
- See <http://www.w3.org/XML/Query#specs> for links to all the recommendations

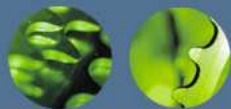


## Mailing Lists

- [talk@xquery.com](mailto:talk@xquery.com)
  - practical discussion about XQuery
- [www-ql@w3.org](mailto:www-ql@w3.org)
  - for general discussion on query languages
- [public-qt-comments@w3.org](mailto:public-qt-comments@w3.org)
  - for comments on the W3C recommendations only

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## Books

- Kay, Michael. *XPath 2.0 Programmer's Reference*. Wrox, 2004.
  - a great reference for where XQuery overlaps with XPath
- Katz, Howard et. al. *XQuery from the Experts*. Addison-Wesley, 2003.
  - very interesting for implementers, or those who want to understand the design of the language on a deeper level
- Walmsley, Priscilla, *Definitive XQuery*. Prentice Hall, 2005.
  - intended as both a tutorial and a reference; XQuery made easy!

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**Thank You**

